

Issuance of new securities certificate(s)

Date.....

I/We

as a securities holder of mutual fund or company

securities certificate no

for a total of units/shares, I/We request the Thailand Securities Depository Co., Ltd.

("TSD") to issue new share certificate due to :

Form with checkboxes for reasons: Loss, Combination, Deterioration of condition, etc.

The new securities certificate should be sent to me:

by hand, by registered mail, or by leaving them at the issuer company

Having them sent to me by registered mail at this address:

I am requesting a new securities certificate to replace one that was lost, I confirm that if I subsequently find the lost securities certificate, I will return it to TSD immediately. I/We hereby certify that the aforementioned statements are complete and true. Should TSD incur any direct or indirect damage, obligation or expense due to complying with my request as given above, I/we shall be fully responsible and shall unconditionally compensate TSD in full.

Please turn page over for list of required documents

Signature Securities holder
(.....) Phone no.....

(If a proxy has been assigned, please sign as both the securities holder and the grantor.)

Form for proxy authorization with signature lines for Grantor and Grantee, and a revenue stamp box.

For official use only: Date, Transaction No, Checker

I have already checked all original identification documents. Signature..... Officer



Documents to be submitted for issuance new securities certificate(s)

1. Application for issuance new securities certificate(s) signed by securities holder.
2. The following documents.
 - 2.1 If requesting a new securities certificate to replace a lost one, please attach the following:
 - A report from any police station specifying the following details, which can be obtained from TSD.
 - ❶ The securities holder's name
 - ❷ Name of company issuing the securities
 - ❸ Securities certificate number
 - ❹ Amount of share
 (Any copy of the report from a police station must be certified as true by the police.)

Remarks: In case, a report from any police station outside Thailand must have been:

- Certified true by notary public or agency of the government having jurisdiction where the document was executed.
- Certified true by the Thai Embassy or Consulate of Thailand in the country having jurisdiction over the affidavit of incorporation and notary Public whose stamp has been affixed.
- Certified within the past 12 months before being submitted to TSD.
- **Translated into English if necessary.**

- 2.2 If requesting a change of name/ surname, please attach application for amending securities holder records /the original securities certificate and a copy of the certificate showing a change of name or surname or marriage or divorce certificate as the case may be.
- 2.3 If requesting a new securities certificate to replace one which has become deteriorated or the issuer has changed information on the securities certificate or will be combined or broken down into smaller lots, please attach the original securities certificate.

3. Identification documents, according to the type of person. In case of other individuals, please contact SET Contact Center at +662 009 9999

Type of person	Submitted documents for issuance new securities certificate(s)
Individual Person -Thai Nationality	-The original and a copy of the person's Thai national I.D. card, certified true with an original signature. * <u>If the original of the person's Thai national I.D. card cannot be shown, or the documents are being submitted by registered mail,</u> please attach a copy of the person's Thai national I.D. card, certified true with an original signature and the original of the document issued by the sub-district or district office to use instead of the original Thai national I.D. card , certified within the past 90 days, as the case may be.
Individual Person -Other Nationalities	-The original and a copy of the signatory's alien identity card or passport, certified true with an original signature. * <u>If the original passport cannot be shown or the documents are being submitted by registered mail,</u> - The copy of the passport has to been certified by a notary public, and both the copy and notary public stamp certified by the Thai embassy or consulate within the past 12 months before being submitted to TSD.
Juristic Person - Thai Nationality	1. A copy of the juristic person certificate issued by the Ministry of Commerce within the past 12 months, with the original signatures of directors authorized to represent the company or their representatives, following the company's conditions. 2. A copy of the national I.D. card /alien identity card / passport of directors who are authorized to represent the company, certified true with original signatures.
Juristic Person - Other Nationalities	1. A copy of the company's registration certificate issued by the regulating government agency. 2. A copy of the juristic person's affidavit of incorporation showing directors authorized to bind the company and conditions relating thereto, showing the company's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the government agency having jurisdiction over the juristic person. 3. A copy of the passport or other official identity document of each authorized director signing this document, certified true with an original signature. <u>All documents for "Other Nationalities" above, must have been :</u> 1. Certified true by notary public or agency of the government having jurisdiction where the document was executed. 2. Certified true by the Thai Embassy or Consulate of Thailand in the country having jurisdiction over the affidavit of incorporation and notary Public whose stamp has been affixed. 3. Certified within the past 12 months before being submitted to TSD. 4. Translated into English if necessary.

